

# 1. The Axiom of Choice (AC)

**Theorem I.12.1 (ZF)** The following are equivalent

1. The Axiom of Choice (as in Section I.2).
2. Every family of non-empty sets has a choice function.
3. Every set can be well-ordered.
4.  $\forall xy(x \preccurlyeq y \vee y \preccurlyeq x)$ .
5. Tukey's Lemma.
6. The Hausdorff Maximal Principle.
7. Zorn's Lemma.

For (2)

**Definition I.12.2** Let  $F$  be a family of non-empty sets. A choice function for  $F$  is a function  $g$  with  $\text{dom}(g) = F$  such that  $g(x) \in x$  for all  $x \in F$ . A choice set for  $F$  is a set  $C$  such that  $C \cap x$  is a singleton set for all  $x \in F$ .

## Choice Set Vs Choice Function.

$F = \{\{2\}, \{2,3\}, \{3\}\} \rightarrow$  No choice set for  $F$ .  
 But  $\exists$  choice function.  $g(x) = \min(x)$ .

(1)  $\leftrightarrow$  (2):

(2)  $\rightarrow$  (1)  $F$  disjoint.  $g$  is a choice function for  $F$   
 $\Rightarrow C = \{g(x) : x \in F\}$  is a choice set for  $F$ .

(1)  $\rightarrow$  (2)  $\forall F$  let  $F^* = \{\{x\} \times x : x \in F\}$ ,  
 $\{x\} \times x = \{(x, i) : i \in x\}$   
 If  $x \neq y$ ,  $\{x\} \times x$  &  $\{y\} \times y$  are disjoint.  
 $\stackrel{(1)}{\Rightarrow} \exists \textcircled{C}$  choice set for  $F^*$   
 $\leftarrow$  sets for ordered pairs  
 $\leftarrow$  a function.  
 $\Rightarrow C$  is a choice set for  $F$ .

For (5)

**Definition I.12.3** If  $\mathcal{F} \subseteq \mathcal{P}(A)$ , then  $X \in \mathcal{F}$  is maximal in  $\mathcal{F}$  iff it is maximal with respect to the relation  $\subsetneq$  (see Definition I.7.18); that is,  $X$  is not a proper subset of any set in  $\mathcal{F}$ .

**Definition I.12.5**  $\mathcal{F} \subseteq \mathcal{P}(A)$  is of finite character iff for all  $X \subseteq A$ :  $X \in \mathcal{F}$  iff every finite subset of  $X$  is in  $\mathcal{F}$ .

**Definition I.12.7** Tukey's Lemma is the assertion that whenever  $\mathcal{F} \subseteq \mathcal{P}(A)$  is of finite character and  $X \in \mathcal{F}$ , there is a maximal  $Y \in \mathcal{F}$  such that  $X \subseteq Y$ .

For (6) & (7)

**Definition I.12.8** Let  $<$  be a strict partial order of a set  $A$ . Then  $C \subseteq A$  is a chain iff  $C$  is totally ordered by  $<$ ;  $C$  is a maximal chain iff in addition, there are no chains  $X \supsetneq C$ .

**Definition I.12.9** The Hausdorff Maximal Principle asserts that whenever  $<$  is a strict partial order of a set  $A$ , there is a maximal chain  $C \subseteq A$ .

**Definition I.12.10** Zorn's Lemma is the assertion that whenever  $<$  is a strict partial order of a set  $A$  satisfying

(\*) For all chains  $C \subseteq A$  there is some  $b \in A$  such that  $x \leq b$  for all  $x \in C$ , then for all  $a \in A$ , there is a maximal (see Definition I.7.18)  $b \in A$  with  $b \geq a$ .

Assuming AC



## 2. Cardinal Arithmetic

$\forall x$  well-ordered  $\xrightarrow{\quad} |x|$  defined

**Definition I.13.1** If  $\kappa, \lambda$  are cardinals, then

$$\boxtimes \quad \boxed{\kappa + \lambda} = |\{0\} \times \kappa \cup \{1\} \times \lambda|$$

$$\boxtimes \quad \boxed{\kappa \cdot \lambda} = |\kappa \times \lambda|$$

$$\boxtimes \quad \boxed{\kappa^\lambda} = |\lambda^\kappa|$$

★ Sometimes, boxes are omitted.

Note

$k^\lambda$  — Ordinal exponent  $k^\lambda$   
— Cardinal exponent  $\boxed{k^\lambda}$   
— Sets of function.  ${}^\lambda k$

**Lemma I.13.2** If  $\kappa, \lambda, \kappa', \lambda'$  are cardinals and  $\kappa \leq \kappa'$  and  $\lambda \leq \lambda'$ , then  $\kappa + \lambda \leq \kappa' + \lambda'$ ,  $\kappa \cdot \lambda \leq \kappa' \cdot \lambda'$ , and  $\kappa^\lambda \leq (\kappa')^{\lambda'}$  (unless  $\kappa = \kappa' = \lambda = 0$ ), where cardinal arithmetic is meant throughout.

Proof ①  $\{0\} \times \kappa \cup \{1\} \times \lambda \subseteq \{0\} \times \kappa' \cup \{1\} \times \lambda'$

②  $|\kappa \times \lambda| \subseteq |\kappa' \times \lambda'|$

③ When  $\kappa' > 0$   
 $\varphi: \begin{matrix} \lambda \\ \kappa \end{matrix} \xrightarrow{1-1} \begin{matrix} \lambda' \\ \kappa' \end{matrix}$

with  $\left\{ \begin{array}{l} \varphi(f) \upharpoonright \lambda = f \\ (\varphi(f))(\zeta) = 0, \quad \lambda \leq \zeta \leq \lambda' \end{array} \right.$

when  $\kappa = \kappa' = 0$ .

using the idea

$$\left\{ \begin{array}{l} 0^0 = |0^0| = |\{\emptyset\}| = 1. \quad \phi \rightarrow \phi \\ 0^\lambda = |\lambda 0| = |\emptyset| = 0 \quad \text{for } \lambda > 0 \end{array} \right.$$

## Laws

**Lemma I.13.3** If  $\kappa, \lambda, \theta$  are cardinals, then using cardinal arithmetic throughout:

1.  $\kappa + \lambda = \lambda + \kappa$ .
2.  $\kappa \cdot \lambda = \lambda \cdot \kappa$ .
3.  $(\kappa + \lambda) \cdot \theta = \kappa \cdot \theta + \lambda \cdot \theta$ .
4.  $\kappa^{(\lambda \cdot \theta)} = (\kappa^\lambda)^\theta$ .
5.  $\kappa^{(\lambda + \theta)} = \kappa^\lambda \cdot \kappa^\theta$ .

Involve both cardinal & ordinal operation.

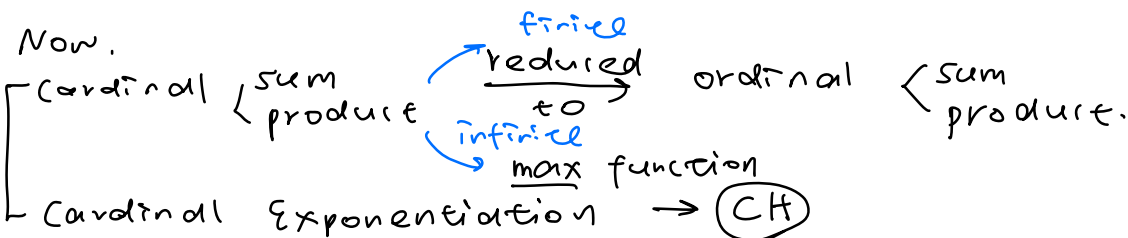
**Lemma I.13.4** For any ordinals  $\alpha, \beta$ :  $|\alpha + \beta| = |\alpha| + |\beta|$  and  $|\alpha \cdot \beta| = |\alpha| \cdot |\beta|$ .

(eg)  $\aleph = \beth = \omega = |\omega|$ :  $\omega, \omega + \omega, \omega \cdot \omega$   
same cardinality

**Lemma I.13.5** If  $\kappa, \lambda$  are finite cardinals, then  $\boxed{\kappa + \lambda} = \kappa + \lambda$ ,  $\boxed{\kappa \cdot \lambda} = \kappa \cdot \lambda$ , and  $\boxed{\kappa^\lambda} = \kappa^\lambda$ . (since  $\boxed{\kappa^{\lambda+1}} = \boxed{\kappa^\lambda} \cdot \kappa = \kappa^{\lambda+1}$ )

Idea: finite ordinals are cardinals

**Lemma I.13.6** If  $\kappa, \lambda$  are cardinals and at least one of them is infinite, then  $\boxed{\kappa + \lambda} = \max(\kappa, \lambda)$ . Also, if neither of them are 0 then  $\boxed{\kappa \cdot \lambda} = \max(\kappa, \lambda)$ .



**Lemma I.13.7**  $2^\kappa = |\mathcal{P}(\kappa)|$  for every cardinal  $\kappa$ , and  $2^{\aleph_\alpha} \geq \aleph_{\alpha+1}$  for every ordinal  $\alpha$ . All exponentiation here is cardinal exponentiation.

**Definition I.13.8** The Continuum Hypothesis, CH, is the statement  $2^{\aleph_0} = \aleph_1$ . The Generalized Continuum Hypothesis, GCH, is the statement  $\forall \alpha [2^{\aleph_\alpha} = \aleph_{\alpha+1}]$ . All exponentiation here is cardinal exponentiation.

$\hookrightarrow$  knowing  $2^\lambda$  for infinite  $\lambda$   
 $\hookrightarrow \kappa^\lambda$  for infinite  $\lambda$ ?

**Lemma I.13.9** If  $2 \leq \kappa \leq 2^\lambda$  and  $\lambda$  is infinite, then  $\kappa^\lambda = 2^\lambda$ . All exponentiation here is cardinal exponentiation.



**Theorem I.13.13 (König, 1905)** If  $\kappa \geq 2$  and  $\lambda$  is infinite, then  $\text{cf}(\kappa^\lambda) > \lambda$ .

→ Final Form :

**Theorem I.13.14** Assume GCH, and let  $\kappa, \lambda$  be cardinals with  $\max(\kappa, \lambda)$  infinite.

1. If  $2 \leq \kappa \leq \lambda^+$ , then  $\kappa^\lambda = \lambda^+$ .
2. If  $1 \leq \lambda \leq \kappa$ , then  $\kappa^\lambda$  is  $\kappa$  if  $\lambda < \text{cf}(\kappa)$  and  $\kappa^+$  if  $\lambda \geq \text{cf}(\kappa)$ .

### 3. Axiom of Foundation (AF)

$$\exists y(y \in x) \rightarrow \exists y(y \in x \wedge \neg \exists z(z \in x \wedge z \in y))$$

- $\in$  is well-founded on  $V$   
 $\Leftrightarrow \forall$  non-empty subset  $x$  of  $V$ , has an  $\in$ -minimal element  $y$   
 $\Leftrightarrow \forall x[x \neq \emptyset \rightarrow \exists y \in x (y \cap x = \emptyset)]$ .

- Avoid "pathological sets"

Counter example :

- (1)  $a \in a, x = \{a\} \quad x \cap a = x \neq \emptyset$   
 (2) "e" has cycles. if  $a_1 \in a_2 \in \dots \in a_n \in a_1$   
 $x = \{a_1, a_2, \dots, a_n\}$ .

Theorem I.14.10.

- $V = \text{WF} \Leftrightarrow \text{AF}$

- ↳ the class of well-founded sets
- ↳ can be obtained from nothing,  $\emptyset$ .
- ↳ where all mathematics takes place.



**Lemma I.14.4**

1. Every  $R(\beta)$  is a transitive set.
2.  $\alpha \leq \beta \rightarrow R(\alpha) \subseteq R(\beta)$ .
3.  $R(\alpha + 1) \setminus R(\alpha) = \{x \in WF : \text{rank}(x) = \alpha\}$ .
4.  $R(\alpha) = \{x \in WF : \text{rank}(x) < \alpha\}$ .
5. If  $x \in y$  and  $y$  in  $WF$ , then  $x \in WF$  and  $\text{rank}(x) < \text{rank}(y)$ .  
(transitive)

rank of an ordinal

**Lemma I.14.5**

1.  $ON \cap R(\alpha) = \alpha$  for each  $\alpha \in ON$ .
2.  $ON \subseteq WF$
3.  $\text{rank}(\alpha) = \alpha$  for each  $\alpha \in ON$ .

(eg)

ordinal	0	1	2	3
rank	0	1	2	3

rank of a set

**Lemma I.14.6** For any set  $y$ :  $y \in WF \leftrightarrow y \subseteq WF$ , in which case:

$$\text{rank}(y) = \sup\{\text{rank}(x) + 1 : x \in y\}$$

(eg)

$$\text{rank}(\{2, 5\}) = \max\{3, 6\} = 6.$$

$$\text{rank}(\langle 2, 5 \rangle) = \text{rank}(\{\{2\}, \{2, 5\}\}) = \max\{4, 7\} = 7.$$

i.e.

**Lemma I.14.7** If  $z \subseteq y \in WF$  then  $z \in WF$  and  $\text{rank}(z) \leq \text{rank}(y)$ .

**Lemma I.14.8** Suppose that  $x, y \in WF$ . Then:

1.  $\{x, y\} \in WF$  and  $\text{rank}(\{x, y\}) = \max(\text{rank}(x), \text{rank}(y)) + 1$ .
2.  $\langle x, y \rangle \in WF$  and  $\text{rank}(\langle x, y \rangle) = \max(\text{rank}(x), \text{rank}(y)) + 2$ .
3.  $\mathcal{P}(x) \in WF$  and  $\text{rank}(\mathcal{P}(x)) = \text{rank}(x) + 1$ .
4.  $\bigcup x \in WF$  and  $\text{rank}(\bigcup x) \leq \text{rank}(x)$ .
5.  $x \cup y \in WF$  and  $\text{rank}(x \cup y) = \max(\text{rank}(x), \text{rank}(y))$ .
6.  $\text{trcl}(x) \in WF$  and  $\text{rank}(\text{trcl}(x)) = \text{rank}(x)$  (see Definition I.9.5).



**Definition I.14.13**  $HF = R(\omega)$  is called the set of hereditarily finite sets.  
 countable

↑  
 all finite mathematics lives

eg.  $\langle$  finite ordinals.  
 $m_n, n, m \in \omega$

## 4. Real Numbers & Symbolic Entities

$\mathbb{Z}$

Getting  $\mathbb{Q}$

**Definition I.15.1**  $\mathbb{Q}$  is the union of  $\omega$  with the set of all  $\langle i, \langle m, n \rangle \rangle \in \omega \times (\omega \times \omega)$  such that:

1.  $m, n \geq 1$       avoid multiple      sign digit
2.  $i \in \{0, 1\}$       representation       $\langle 0 +$
3.  $\gcd(m, n) = 1$        $\langle 1 -$

4. If  $i = 0$  then  $n \geq 2$  (exclude  $\langle 0, \langle 1, 1 \rangle \rangle \in \omega$ )

$$\mathbb{Z} = \omega \cup \{ \langle 1, \langle m, 1 \rangle \rangle : 0 < m < \omega \}.$$

eg.  $\frac{2}{3} = \langle 0, \langle 2, 3 \rangle \rangle$   
 $-\frac{2}{3} = \langle 1, \langle 2, 3 \rangle \rangle$   
 $-1 = \langle 1, \langle 1, 1 \rangle \rangle$

$\mathbb{Z} \subseteq \mathbb{Q} \subseteq HF$   
 $\text{rank}(\mathbb{Z}) = \text{rank}(\mathbb{Q}) = \omega$   
 $\text{rank}(-2/3) = 1$

**Definition I.15.3**  $+$ ,  $\cdot$ , and  $<$  are defined on  $\mathbb{Q}$  in the "obvious way", to make  $\mathbb{Q}$  into an ordered field containing  $\omega$ .

(More Axioms of orders are needed).

## Getting $\mathbb{R}$ and $\mathbb{C}$

**Definition I.15.4**  $\mathbb{R}$  is the set of all  $x \in \mathcal{P}(\mathbb{Q})$  such that  $x \neq \emptyset$ ,  $x \neq \mathbb{Q}$ ,  $x$  has no largest element, and

$$\forall p, q \in \mathbb{Q} [p < q \in x \rightarrow p \in x] . \quad (*)$$

$$\mathbb{C} = \mathbb{R} \times \mathbb{R}.$$

- $x = Cx = \{q \in \mathbb{Q} : q < x\}$ . (a subset of  $\mathbb{Q}$  if  $x \in \mathbb{R}$  satisfying  $(*)$ )
- $\mathbb{R} =$  the collection of all sets satisfying  $(*)$ .
- complex number  $=$  a pair of reals  $\langle x, y \rangle = x + iy$ .
- $\text{rank}(x) = \omega$ ,  $\forall x \in \mathbb{R}$ .  
 $\text{rank}(\mathbb{R}) = \omega + 1$ .  
 $\text{rank}(\mathbb{C}) = \omega + 2$ .

**Definition I.15.6** An ordered field  $F$  is Dedekind-complete iff it satisfies the least upper bound axiom — that is, whenever  $X \subseteq F$  is non-empty and bounded above, the least upper bound,  $\sup X$ , exists.

**Proposition I.15.7** All Dedekind-complete ordered fields are isomorphic.

→ These 2 guarantees different constructions of real numbers are the same. (Through isomorphism).

## Getting Symbolic Expressions

Consider a boolean expression

$$\sigma = \neg [p \wedge q] \quad (\text{a sequence of 6 symbols})$$

↳ a function domain  $6 = \{0, 1, 2, 3, 4, 5\}$   
 $\sigma(0) = "\neg"$

↳ want to represent symbol by natural numbers

**Definition I.15.13**  $P_n$  is the number  $2n + 2$ . The symbols  $], [, \neg, \vee, \wedge$  are shorthand for the numbers 1, 3, 5, 7, 9, respectively.

$P_0, P_2, \dots \rightarrow$  proposition letters /

$A = \{1, 3, 5, 7, 9\} \cup \{2n+2 : n \in \mathbb{N}\} \subseteq \mathbb{N}$   
boolean variables

$\hookrightarrow$  alphabets

**Definition I.15.16** Assume that  $A \cap A^{<\omega} = \emptyset$ , and fix  $\tau_0, \dots, \tau_{m-1} \in A \cup A^{<\omega}$ . Let  $\sigma_i$  be  $\tau_i$  if  $\tau_i \in A^{<\omega}$ , and the sequence of length 1,  $(\tau_i)$ , if  $\tau_i \in A$ . Then  $\tau_0, \dots, \tau_{m-1}$  denotes the string  $\sigma_0 \widehat{\ } \dots \widehat{\ } \sigma_{m-1} \in A^{<\omega}$ .

$\hookrightarrow$  starts the discussion of formal logic.